



## Production Processes for Micro Optics - Enabler for Energy Efficient Lighting

Mimomems Strategic Workshop  
Sinaia Romania

October 11, 2009

Dipl.- Ing. C. Baum

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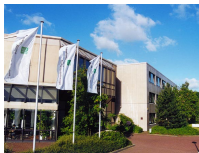
- Fraunhofer IPT
- Market for Micro Optics
- Production of Micro Optics
- Exemplary Process Chain "FlexPAET"
- Summary and Outlook

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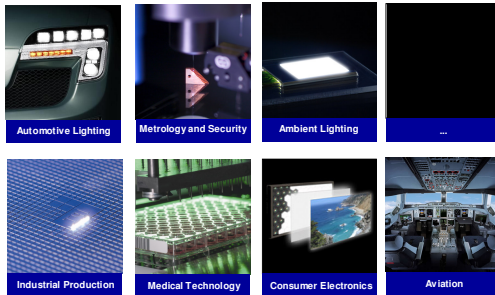
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## Micro Optics – Market Overview



Source: Hella GmbH

## Micro Optics – Lighting Applications

### Main reasons for growing demand for micro optics in lighting applications

- Energy efficiency
  - Trend towards LED-Technology forced by politics
  - A significant part of energy losses in lighting applications results from inefficient optics (e.g. diffusing screens, light leakages, insufficient directing of light)
- Design aspects
  - Growing demand for sophisticated lighting solutions for indoor and outdoor applications

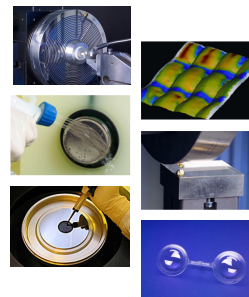


Source: Frankfurter Rundschau, eue control GmbH

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## Demands for the Production of Micro Optics



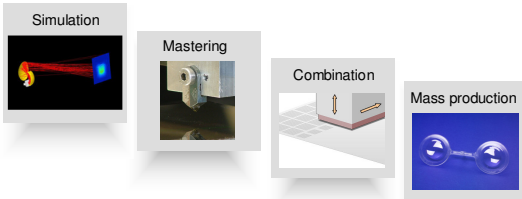
- Multiple production technologies need to be linked
- Cost efficient and scalable production capacity range from prototypes to mass production
- Flexible, semi automated production equipment
- Multi disciplinary optimisation of process steps required

Source: Fraunhofer IPT, Temicon GmbH

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## Development Steps for Micro Optics

- The development of micro optics requires efficient combination of several disciplines



Source: Contrast Optical Design Inc., Fraunhofer IPT  
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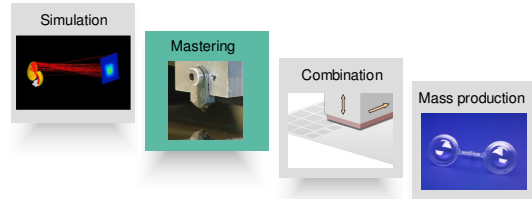
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## Development Steps for Micro Optics

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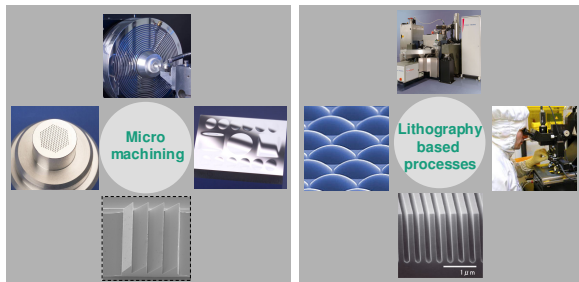
Source: Contrast Optical Design Inc., Fraunhofer IPT  
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## Two Worlds of Mastering Processes



Source: Fraunhofer IPT, NTT Advanced Technology, Temicon GmbH, Süss GmbH  
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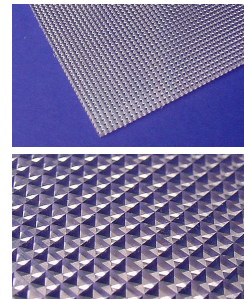
## Micro Machining World: Prototyping of Pyramid Structures

### Geometry:

- Pitch: 2 mm
- Angle: 90 °
- Height: 1 mm
- Workpiece: 140 x 140 mm<sup>2</sup>

### Process:

- Spindle rotation: 1200 rpm
- Feed: 150 mm/min
- Cutting depth: 1,5 mm



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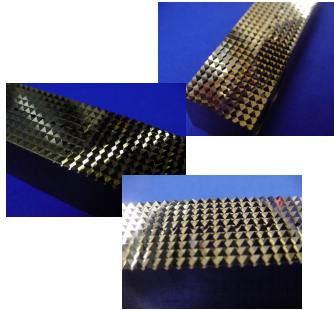
## Micro Machining World: Pyramid Structures in Brass

### Geometry:

- Pitch: 2 mm
- Angle: 90 °
- Height: 1 mm
- Workpiece: 155 x 20 mm²

### Process:

- Spindle rotation: 1000 rpm
- Feed: 30 mm/min
- Cutting depth: 1,2 mm



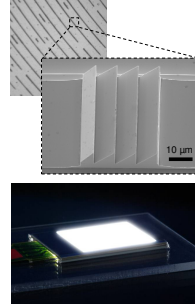
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## Micro Machining World: Blazed Gratings



- Production of micro structures (blazed gratings) with structure width < 10 µm by diamond turning
- Production of stamp tools (40 x 40 µm²) or direct structuring surfaces by Fast-Tool-Servo
- Application in light guiding foils in lighting and display technology
- Process optimisation and advanced process know how necessary
- Development of highly dynamic ultra precision axis necessary

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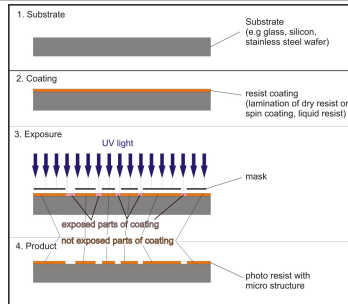
## Lithography World

- Lithography based processes have been developed mainly for binary structures

- Entire process chains have been optimised for special high volume applications (e.g. DVD production, chip production)

### Characteristics

- High resolution (down to 20 nm structure size)
- High aspect ratio



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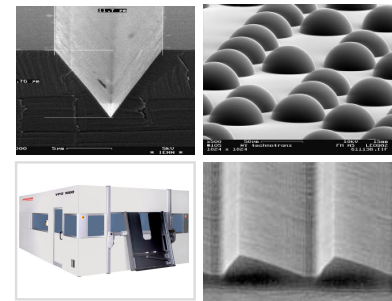
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## Lithographic 3D Patterning

- Adaption for optical applications

- Large area applications (e.g. laser lithography process on areas > 1m²)
- 3D-micro manufacturing (e.g. reflow processes, grey scale lithography, anisotropic etching)



Source: Temicon GmbH, Teledyne LLC, Aristotle University of Thessaloniki

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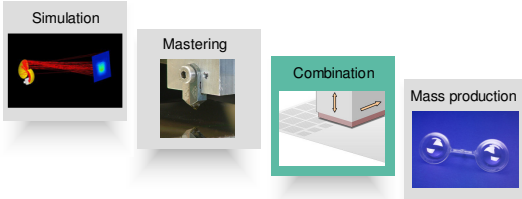
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## Development Steps for Micro Optics

- The development of micro optics requires efficient combination of several disciplines.



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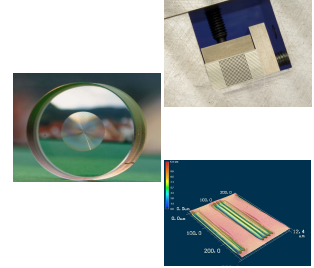
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## Connecting Two Worlds: Combination Processes

- Components or technologies of the mastering processes can be recombined in a flexible way
- Structural dimensions and work piece dimensions not necessarily need to be in a certain relation
- Combination can be done by
  - mechanical combination
    - e.g. assembly of galvanic masters
  - sequential processing
    - e.g. laser beam lithography on diamond machined surfaces
  - replicating combination
    - e.g. step and repeat hot embossing



Source: Fraunhofer IPT, Fraunhofer IOF  
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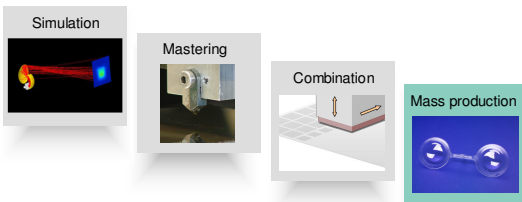
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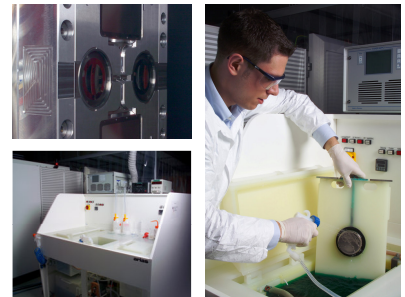
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## Key Process for Mass Replication - Electroforming

- Replication of master geometries by electroforming
- Electroforming enables the economic application of cost intensive mastering processes due to the possibilities of making high quality metallic copies
- Production wear resistant moulds for further mass production



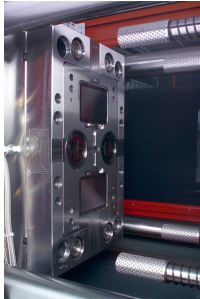
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## Mass Production: Injection Moulding and Hot Embossing



### Injection Moulding

- Medium to high volume production of polymer optics
- Simulation and iterative process optimisation enable highly accurate replication
- Multi material processes
- Substitution of assembly processes possible
- Highly automated systems available

### Hot Embossing

- Very high replication quality
- Prototypes till medium batch size

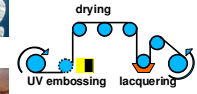
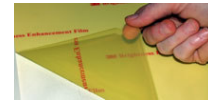
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## Roll-to-Roll Embossing

- High volume production of optical components
- Accurate replication of structural details
- Little supplier market for the technology
- Promising technology for low-cost production of micro optical components



Source: 3M / Vkuhl  
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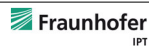


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## FelxPAET - Introduction



### FlexPAET

- Flexible Patterning of Complex Micro Structures using Adaptive Embossing Technology

### Project Goal

- Development of a production chain using
  - micro embossing
  - surface structuring and
  - mass replication
 for the production of large area micro structured optical components

### Project Start

- October 2008

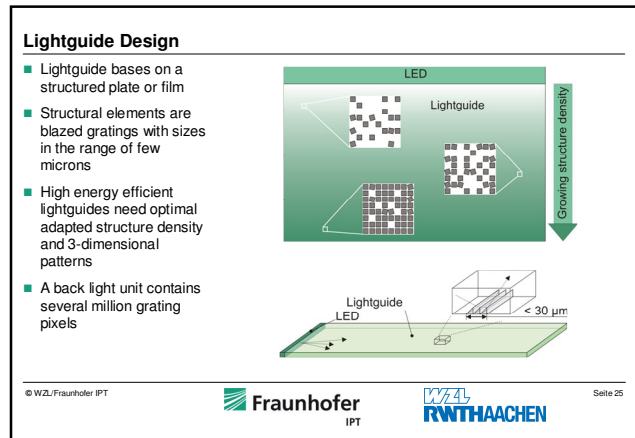
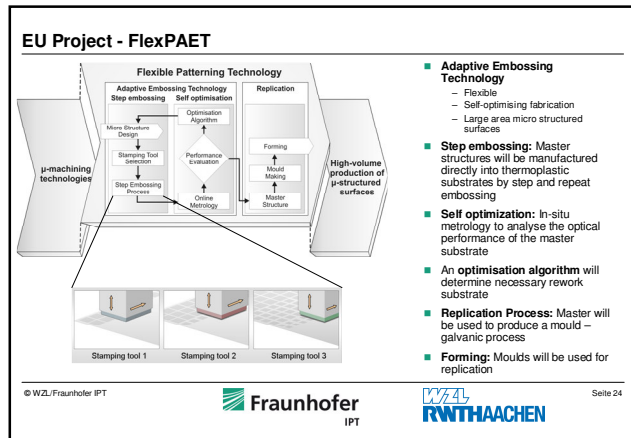
### Project Duration

- 3 Years

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### Summary and Outlook

- Excellent market opportunities for the design and production of micro optics.
- Growing demand for lighting applications.
- Flexible, semi automated production equipment is needed.
- Linking and optimising existing technologies is most important for the economic production of micro optics.

Source: Siles GmbH  
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